

TFE

2/31

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MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Dated: May 23, 2006 Signature: Robert B. Cohen
(Robert B. Cohen)

Docket No.: SONYJP 3.0-816
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Sako et al.

Application No.: 09/940,710

Group Art Unit: 2131

Filed: August 28, 2001

Examiner: K. Abrishamkar

For: DATA OUTPUT METHOD AND
APPARATUS, DATA PLAYBACK METHOD
AND APPARATUS, DATA RECORDING
METHOD AND APPARATUS, AND DATA
RECORDING AND PLAYBACK METHOD
AND APPARATUS

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

Dated: May 23, 2006

Respectfully submitted,

By Robert B. Cohen

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LD-450



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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. The cited references were listed on a search report issued in connection with applicant's corresponding European application. A copy of the search report and a copy of each non-U.S. reference are enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

Application No.: 09/940,710

Docket No.: SONYJP 3.0-816

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: May 23, 2006

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

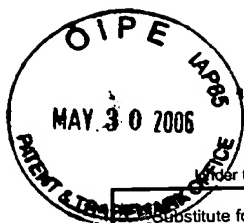
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LD-458\



PTO/SB/08a/b (07-05)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/940,710-Conf. #5743
				Filing Date	August 28, 2001
				First Named Inventor	Yoichiro Sako
				Art Unit	2131
				Examiner Name	K. Abrishamkar
				Attorney Docket Number	SONYJP 3.0-816
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	BA	EP-0 969 463-A1	01-05-2000	Pioneer Electronic Corporation		✓
	BB	EP-0 942 418-A1	09-15-1999	International Business Machines Corporation		✓
	BC	EP-1 014 361-A2	06-28-2000	Sony Corporation		✓
	BD	EP-0 994 621-A2	04-19-2000	Sony Corporation		✓
	BE	EP-1 003 167-A2	05-24-2000	Sony Corporation		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	CA	LINNARTZ, J.P.M.G., "The Ticket Concept for Copy Control Based on Embedded Signalling", Proceedings of the European Symposium on Research in Computer Security, September 16, 1998, pp. 257-274.		✓

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Examiner Signature		Date Considered	
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